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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	100725-36 / Kreiser 1107-KGB
	First Inventor	Andre KOLTERMANN et al
	Title	SEE APPLICATION DATA SHEET
	Express Mail Label	EV 328767952 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>40</b> ] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>9</b> ] 5. Oath or Declaration [Total Pages <b>1</b> ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> Paper c. <input checked="" type="checkbox"/> Statements verifying identity of above copies
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**ACCOMPANYING APPLICATION PARTS**

- |   |  |
|---|--|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)   | <input type="checkbox"/> Power of Attorney       |
| 11. <input type="checkbox"/> English Translation Document (if applicable)   |  |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 13. <input checked="" type="checkbox"/> Preliminary Amendment   |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                                     |  |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |  |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent. |  |
| 17. <input checked="" type="checkbox"/> Other: Express Mail Certificate   |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUING OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ Customer Number: **27384** or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	KURT G. BRISCOE	Registration No. (Attorney/Agent)	33,141
Signature	<i>[Signature]</i>	Date	August 22, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17956 U.S. PTO  
10/6/00

08/22/03

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$1,318.00

## Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Andre KOLTERMANN et al
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	100725-36 / Kreisler 1107-KGB

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<b>3. ADDITIONAL FEES</b>	
<input checked="" type="checkbox"/> Deposit Account:		<b>Large Entity</b> <b>Small Entity</b>	
Deposit Account Number: 14-1263		Fee Code Fee (\$)	
Deposit Account Name: Norris McLaughlin & Marcus		Fee Code Fee (\$)	
The Director is authorized to: (check all that apply)		Fee Description	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		Fee Paid	
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application			
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			
<b>FEE CALCULATION</b>			
<b>1. BASIC FILING FEE</b>			
<b>Large Entity</b> <b>Small Entity</b>			
Fee Code Fee (\$)		Fee Description	
Fee Code Fee (\$)		Fee Paid	
1001 750 2001 375 Utility filing fee		750.00	
1002 330 2002 165 Design filing			
1003 520 2003 260 Plant filing fee			
1004 750 2004 375 Reissue filing			
1005 160 2005 80 Provisional filing fee			
SUBTOTAL (1) (\$)		750.00	
<b>2. EXTRA CLAIM FEES FOR UTILITY AND</b>			
<b>Extra Claims</b>		<b>Fee from below</b>	
Total Claims 22 -20** = 2 X 18.00 = 36.00		Fee Paid	
Independent Claims 6 -3** = 3 X 84.00 = 252.00			
Multiple Dependent 280.00 = 280.00			
<b>Large Entity</b> <b>Small Entity</b>			
Fee Code Fee (\$)		Fee Description	
Fee Code Fee (\$)		Fee Description	
1202 18 2202 9 Claims in excess of 20			
1201 84 2201 42 Independent claims in excess of 3			
1203 280 2203 140 Multiple dependent claim, if not paid			
1204 84 2204 42 ** Reissue independent claims over original patent			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)		568.00	
**or number previously paid, if greater; For Reissues, see above			
		<b>3. ADDITIONAL FEES</b>	
		<b>Large Entity</b> <b>Small Entity</b>	
		Fee Code Fee (\$)	
		Fee Code Fee (\$)	
		Fee Description	
		Fee Paid	
		1051 130 2051 65 Surcharge - late filing fee or oath	
		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
		1053 130 2053 130 Non - English specification	
		1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
		1251 110 2251 55 Extension for reply within first month	
		1252 410 2252 205 Extension for reply within second month	
		1253 930 2253 465 Extension for reply within third month	
		1254 1,450 2254 725 Extension for reply within fourth month	
		1255 1,970 2255 985 Extension for reply within fifth month	
		1401 320 2401 160 Notice of Appeal	
		1402 320 2402 160 Filing a brief in support of an appeal	
		1403 280 2403 140 Request for oral hearing	
		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
		1452 110 2452 55 Petition to revive - unavoidable	
		1453 1,300 2453 650 Petition to revive - unintentional	
		1501 1,300 2501 650 Utility issue fee (or reissue)	
		1502 470 2502 235 Design issue fee	
		1503 630 2503 315 Plant issue fee	
		1460 130 1460 130 Petitions to the Commissioner	
		1807 50 1807 50 Processing fee under 37 CFR § 1.17(q)	
		1806 180 1806 180 Submission of Information Disclosure Statement	
		8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
		1809 750 2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810 750 2810 375 For each additional invention to be examined (37 CFR § 1.129(b))	
		1801 750 2801 375 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		SUBTOTAL (3) (\$)	
		*Reduced by Basic Filing Fee Paid	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	KURT G. BRISCOE	Registration No. (Attorney/Agent)	33,141
Signature		Telephone	212-808-0700
		Date	August 22, 2003

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Express Mail Label No. EV 328767952 US  
Date of Deposit: August 2, 2003

Docket No. 100725-36 / Kreisler 1107-KGB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO. : To Be Assigned  
APPLICANTS : Andre KOLTERMANN et al  
FILED : Herewith  
FOR : Method for the Selective Combinatorial Randomization of  
Polynucleotides  
ART UNIT : To be Assigned  
EXAMINER : To Be Assigned

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August 22, 2003

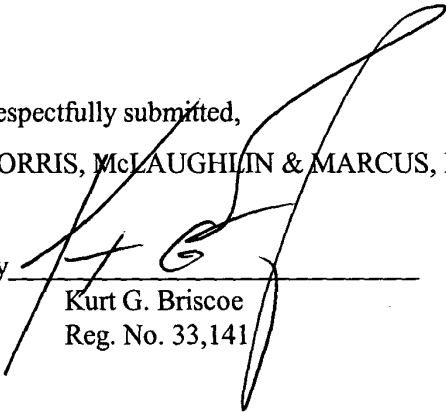
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Submitted herewith for entry and approval are nine (9) sheets of formal drawings (Fig. 1 - Fig. 7) in the above-referenced application. Each sheet of drawing indicates the identifying indicia suggested in 37 CFR § 1.84(c).

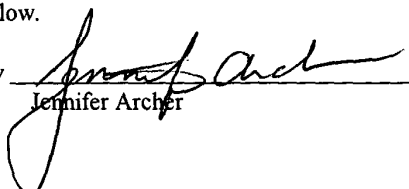
Respectfully submitted,  
NORRIS, McLAUGHLIN & MARCUS, P.A.

By   
Kurt G. Briscoe  
Reg. No. 33,141

KGB/ja  
Attachments: - Formal Drawings (9 sheets)  
220 East 42<sup>nd</sup> Street - 30<sup>th</sup> Floor  
New York, New York 10017  
Phone: (212) 808-0700

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Services as express mail in an envelope addressed to Hon. Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

By   
Jennifer Archer

Date August 22, 2003

APPLICANT: Andre KOLTERMANN et al

TITLE: Method for the Selective Combinatorial Randomization of Polynucleotides

**EXPRESS MAIL CERTIFICATE**

“Express Mail” mailing label number EV328767952 US

Date of Deposit August 22, 2003

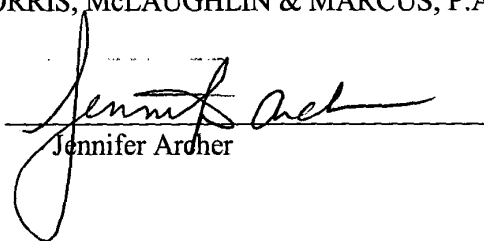
I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Application Data Sheet
3. Fee Transmittal in duplicate – **CHARGE DEPOSIT ACCOUNT**
4. Specification consisting of description (pages 1-34); 18 Claims (pages 35-39); Abstract (page 40); Sequence Listing (Pages 1-5); 9 Sheets of Drawings Fig. 1- Fig 7)
5. Statement Regarding Sequence Listing
6. Diskette containing Sequence Listing
7. Transmittal of Formal Drawings (9 Sheets)
8. Return Receipt Postcard

are being deposited with the United States Postal Services “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By

  
Jennifer Archer

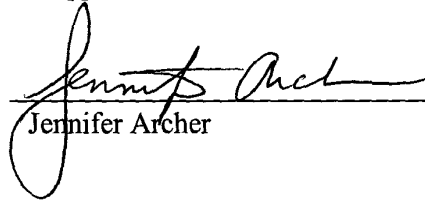
Docket No. 100725-36 / Kreisler 1107-KGB  
Application No. To Be Assigned  
Applicant: Andre KOLTERMANN et al

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the foregoing Statement Regarding Sequence Listing is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, Mail Stop Patent Application, PO Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

Date: August 22, 2003

By

  
Jennifer Archer